

# On Yetter's Invariant and an Extension of the Dijkgraaf-Witten Invariant to Categorical Groups

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## Abstract

We give an interpretation of Yetter's Invariant of manifolds  $M$  in terms of the homotopy type of the function space  $\text{TOP}(M, B(\mathcal{G}))$ , where  $\mathcal{G}$  is a crossed module and  $B(\mathcal{G})$  is its classifying space. From this formulation, there follows that Yetter's invariant depends only on the homotopy type of  $M$ , and the weak homotopy type of the crossed module  $\mathcal{G}$ . We use this interpretation to define a twisting of Yetter's Invariant by cohomology classes of crossed modules, defined

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as cohomology classes of their classifying spaces, in the form of a state sum invariant. In particular, we obtain an extension of the Dijkgraaf-Witten Invariant of manifolds to categorical groups. The straightforward extension to crossed complexes is also considered.

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## Introduction

Let  $G$  be a finite group. In the context of Topological Gauge Field Theory, R. Dijkgraaf and E. Witten defined in [DW] a 3-dimensional manifold invariant for each 3-dimensional cohomology class of  $G$ . When  $M$  is a triangulated manifold, the Dijkgraaf-Witten Invariant can be expressed in terms of a state sum model, reminiscent of Lattice Gauge Field Theory. State sum invariants of manifolds were popularised by V.G. Turaev and O.Ya. Viro, because of their construction of a non-trivial closed 3-manifold state sum invariant from quantum  $6j$ -symbols (see [TV]), regularising the divergences of the celebrated Ponzano-Regge Model (see [PR]), which is powerful enough to distinguish between manifolds which are homotopic but not homeomorphic. For categorically inclined introductions to state sum invariants of manifolds we refer the reader to [T, BW, Mk1].

State sum models, also known as spin foam models, appear frequently in the context of 3- and 4-dimensional Quantum Gravity, as well as Chern-Simons Theory, making them particularly interesting. See for example [B, Bae] for reviews.

Let us recall the construction of the Dijkgraaf-Witten Invariant. Let  $G$  be a finite group. A 3-dimensional group cocycle is a map  $\omega: G^3 \rightarrow U(1)$  verifying the cocycle condition:

$$\omega(X, Y, Z)\omega(XY, Z, W)^{-1}\omega(X, YZ, W)\omega(X, Y, ZW)^{-1}\omega(Y, Z, W) = 1,$$

for any  $X, Y, Z, W \in G$ . These group 3-cocycles can be seen as 3-cocycles of the classifying space  $B(G)$  of  $G$ , by considering the usual simplicial structure on  $B(G)$ ; see for example [We], Example 8.1.7.

Let  $M$  be an oriented closed connected piecewise linear manifold. Choose a triangulation of  $M$ , and consider a base point  $*$  for  $M$  so that  $*$  is a vertex of  $M$ . Suppose that we are provided with a total order on the set of vertices of  $M$ . A  $G$ -colouring of  $M$  is given by an assignment of an element of  $G$  to

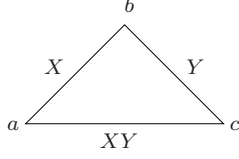


Figure 1: Flatness condition imposed on  $G$ -colourings of a triangulated manifold  $M$ . Here  $X, Y \in G$  and  $a < b < c$  are vertices of  $M$ .

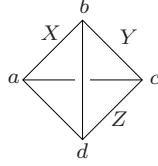


Figure 2: Edges of a tetrahedron of  $M$  whose colours determine the colouring of all other edges. Here  $a < b < c < d$  are vertices of  $M$ .

each edge of  $M$ , satisfying the flatness condition shown in figure 1. A  $G$ -colouring of  $M$  therefore defines a morphism  $\pi_1(M, *) \rightarrow G$ . Let  $T = (abcd)$ , where  $a < b < c < d$ , be a tetrahedron of  $M$ . The colouring of each edge of  $T$  is determined by the colouring of the edges  $(ab)$ ,  $(bc)$  and  $(dc)$ , of  $T$ ; see figure 2. Let  $\omega: G^3 \rightarrow U(1)$  be a 3-dimensional group cocycle, representing some 3-dimensional cohomology class of  $G$ . The “topological action”  $S(T, \omega)$  on a tetrahedron  $T$  is  $\omega(X, Y, Z)^{\pm 1}$ , where  $X, Y, Z \in G$  are as in figure 2. Here the exponent on  $\omega(X, Y, Z)$  is 1 or  $-1$  according to whether the orientation on  $T$  induced by the total order on the set of vertices of  $M$  coincides or not with the orientation of  $M$ . The expression of the Dijkgraaf-Witten Invariant is the state sum:

$$DW(M, \omega) = \frac{1}{\#G^{n_0}} \sum_{G\text{-colourings}} \prod_{\text{tetrahedra } T} S(T, \omega),$$

where  $n_0$  is the number of vertices of the chosen triangulation of  $M$ . This state sum is triangulation independent.

A homotopy theoretic expression for the Dijkgraaf-Witten Invariant is:

$$DW(M, \omega) = \frac{1}{\#G} \sum_{f \in [(M, *), (B(G), *)]} \langle o_M, f^*(\omega) \rangle,$$

where  $o_M \in H_3(M)$  denotes the orientation class of  $M$ . The notation  $[(M, *), (B(G), *)] = \pi_0(\text{TOP}((M, *), (B(G), *)))$  stands for the set of based

homotopy classes of maps  $(M, *) \rightarrow (B(G), *)$ , where, as before,  $B(G)$  denotes the classifying space of  $G$ . The equivalence between the two formulations is a consequence of the fact that there exists a one-to-one correspondence between group morphisms  $\pi_1(M, *) \rightarrow G$  and pointed homotopy classes of maps  $(M, *) \rightarrow (B(G), *)$ .

In [Y1], D.N. Yetter defined a 3-dimensional TQFT, which included the  $\omega = 1$  case of the Dijkgraaf-Witten Invariant, though he did not incorporate group cohomology classes into his TQFT. This construction appeared upgraded in [Y2], where D.N. Yetter extended his framework to handle categorical groups. Recall that categorical groups are equivalent to crossed modules. They are often easier to consider when handling the objects at a theoretical level, although for calculation the crossed modules have advantages. We will often pass from one description to the other, usually without comment. The important fact is that they both are algebraic models of homotopy 2-types and so generalise groups, which model homotopy 1-types.

Let  $M$  be a piecewise linear compact manifold equipped with a triangulation. The definition of Yetter's Invariant (which we will formulate carefully in this article) is analogous to the definition of the Dijkgraaf-Witten Invariant for the case  $\omega = 1$ . However, in Yetter's construction, in addition to colourings of the edges of  $M$ , now by objects of a categorical group, we also have colourings on the faces the triangulation of  $M$  by morphisms of the chosen categorical group, and the flatness condition is transported to the tetrahedrons of  $M$ .

The meaning of Yetter's construction was elucidated by the second author in [P1, P2], where an extension of Yetter's Invariant to handle general models of  $n$ -types appears. The construction of M. Mackaay in [Mk2] is related, conjecturally, with the  $n = 3$  case of the construction in [P2], with a further twisting by cohomology classes of 3-types, in the Dijkgraaf-Witten way.

In [FM1, FM2], D.N. Yetter's ideas were applied to 2-dimensional knot theory, and yielded interesting invariants of knotted embedded surfaces in  $S^4$ , with nice calculational properties. In [FM2, FM3] the general formulation of Yetter's Invariant for CW-complexes and crossed complexes appeared.

One of the open problems posed by D.N. Yetter at the end of [Y2] was whether his construction could, similarly to the Dijkgraaf-Witten Invariant, be twisted by cohomology classes, and he asked for the right setting for doing this. In this article we formulate such a twisting of Yetter's Invariant, thereby extending the Dijkgraaf-Witten Invariant of 3-manifolds to categorical groups. Note that the (co)homology of a crossed module is defined as

being the (co)homology of its classifying space; see for example [E, Pa].

In the course of this work, we prove a new homotopy theoretical interpretation of Yetter's Invariant (Theorem 1.21), therefore giving a new proof of its existence; see [P1, P2] for alternative interpretations. Another important consequence of this formulation is the homotopy invariance of Yetter's Invariant, as well as the fact that Yetter's Invariant depends only on the weak homotopy type of the chosen crossed module.

Our interpretation of Yetter's Invariant extends in the obvious way to the homologically twisted case (Theorem 2.5), and has close similarities with the interpretation of the Dijkgraaf-Witten Invariant shown above. The main difference is that it is set in the unbased case, and depends on the homotopy groups of each connected component of the function space  $\text{TOP}(M, B(\mathcal{G}))$ . Here  $B(\mathcal{G})$  is the classifying space of the crossed module  $\mathcal{G}$ . Our construction does not depend on the dimension of the manifolds, and can also be adapted to the general crossed complex context, which we expect to be closely related with the invariants appearing in [P2, Mk2]. This extension of Yetter's invariant to crossed complexes, as well as its homotopy invariance and geometric interpretation appears in this article; see 1.4.2.

As we mentioned before, the category of categorical groups is equivalent to the category of crossed modules, which are particular cases of crossed complexes. Crossed complexes  $\mathcal{A}$  admit classifying spaces  $B(\mathcal{A})$ , which generalise Eilenberg-Mac Lane spaces. The classifying spaces of crossed complexes  $\mathcal{A}$  were extensively studied by R. Brown and P.J. Higgins. One of their main results is the total description in algebraic terms of the weak homotopy type of the function space  $\text{TOP}(M, B(\mathcal{A}))$ , where  $M$  is a CW-complex. This result together with the General van Kampen Theorem (also due to R. Brown and P.J. Higgins) are the main tools that we will use in this article.

We will make our exposition as self contained as possible, giving brief description of the concepts of the theory of crossed complexes that we will need, constructions which are due mainly to R. Brown and P.J. Higgins.

This article should be compared to [P3, PT], where similar ideas are used to define Formal Homotopy Quantum Field Theories with background a 2-type.

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# 1 Crossed Modules and Yetter's Invariant

## 1.1 Crossed Modules and Crossed Complexes

### 1.1.1 Definition of Crossed Modules

Let  $G$  be a groupoid with object set  $P$ . We denote the source and target maps of  $G$  by  $s, t: G \rightarrow P$ , respectively. If a groupoid  $E$  is totally disconnected, we denote both maps (which are by definition equal) by  $\beta: E \rightarrow P$ .

**Definition 1.1** Let  $G$  and  $E$  be groupoids, over the same set  $P$ , with  $E$  totally disconnected. A crossed module  $\mathcal{G} = (G, E, \partial, \triangleright)$  is given by an object preserving groupoid morphism  $\partial: E \rightarrow G$  and a left groupoid action  $\triangleright$  of  $G$  on  $E$  by automorphisms. The conditions on  $\triangleright$  and  $\partial$  are:

1.  $\partial(X \triangleright e) = X\partial(e)X^{-1}$ , if  $X \in G$  and  $e \in E$  are such that  $t(X) = \beta(e)$ ,
2.  $\partial(e) \triangleright f = efe^{-1}$ , if  $e, f \in E$  verify  $\beta(e) = \beta(f)$ .

See [BI], section 1. Notice that for any  $e \in E$  we must have  $s(\partial(e)) = t(\partial(e)) = \beta(e)$ .

A crossed module is called *reduced* if  $P$  is a singleton. This implies that both  $G$  and  $E$  are groups. A morphism  $F = (\phi, \psi)$  between the crossed modules  $\mathcal{G} = (G, E, \partial, \triangleright)$  and  $\mathcal{G}' = (G', E', \partial', \triangleright')$  is given by a pair of groupoid morphisms  $\phi: G \rightarrow G'$  and  $\psi: E \rightarrow E'$ , making the diagram:

$$\begin{array}{ccc} E & \xrightarrow{\psi} & E' \\ \partial \downarrow & & \downarrow \partial' \\ G & \xrightarrow{\phi} & G' \end{array}$$

commutative. In addition we must have:

$$t(X) = \beta(e) \implies \phi(X) \triangleright' \psi(e) = \psi(X \triangleright e), \forall X \in G, \forall e \in E.$$

There exists an extensive literature on (reduced) crossed modules. We refer for example to [Ba2, Ba1, BHu, FM2]. The non-reduced case, important for this article, is considered in [B1, B4, BH1, BH2, BHS, BI].

### 1.1.2 Reduced Crossed Modules and Categorical Groups

Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a reduced crossed module. Here  $\partial: E \rightarrow G$  is a group morphism, and  $\triangleright$  is a left action of  $G$  on  $E$  by automorphisms. We can define a strict monoidal category  $\mathcal{C}(\mathcal{G})$  from  $\mathcal{G}$ . The set of objects of  $\mathcal{C}(\mathcal{G})$  is given by all elements of  $G$ . Given an  $X \in G$ , the set of all morphisms with source  $X$  is in bijective correspondence with  $E$ , and the target of  $e \in E$  is  $\partial(e^{-1})X$ . In other words a morphism in  $\mathcal{C}(\mathcal{G})$  “looks like”  $X \xrightarrow{e} \partial(e^{-1})X$ . Given  $X \in G$  and  $e, f \in E$  the composition

$$X \xrightarrow{e} \partial(e^{-1})X \xrightarrow{f} \partial(f^{-1})\partial(e^{-1})X$$

is:

$$X \xrightarrow{ef} \partial(ef)^{-1}X.$$

The tensor product has the form:

$$\begin{array}{ccc} X & Y & XY \\ \downarrow e & \otimes & \downarrow f \\ \partial(e^{-1})X & \partial(f^{-1})Y & \partial(e^{-1})X\partial(f^{-1})Y \end{array} = \begin{array}{c} \downarrow (X \triangleright f)e \\ \partial(e^{-1})X\partial(f^{-1})Y \end{array}.$$

From the definition of a crossed module, it is easy to see that we have indeed defined a strict monoidal category. The tensor category  $\mathcal{C}(\mathcal{G})$  is a categorical group (see [BMk] and [BHS]). It is well known that the categories of crossed modules and of categorical groups are equivalent (see [BHS, BSp, BMk]). This construction is an old one. We skip further details on this connection since the point we want to emphasise is that we can construct a strict monoidal category  $\mathcal{C}(\mathcal{G})$  which is moreover a categorical group from any crossed module  $\mathcal{G}$ . This also justifies the title of this article. Although we will define Yetter's invariant using the crossed module form of the data, it is, we feel, more natural to think of the categorical group as being the coefficient object.

### 1.1.3 Crossed Complexes

A natural generalisation of the concept of a crossed module is a crossed complex.

**Definition 1.2** *A crossed complex  $\mathcal{A}$  is given by a complex of groupoids over the same set  $A_0$  (in other words  $A_0$  is the object set of all groupoids), say:*

$$\dots \xrightarrow{\partial_{n+1}} A_n \xrightarrow{\partial_n} A_{n-1} \xrightarrow{\partial_{n-1}} A_{n-2} \xrightarrow{\partial_{n-2}} \dots \xrightarrow{\partial_3} A_2 \xrightarrow{\partial_2} A_1,$$

where all boundary maps  $\partial_n, n \in \mathbb{N}$  are object preserving, and the groupoids  $A_n, n \geq 2$  are totally disconnected. The remaining conditions are:

1. For each  $n > 1$ , there exists a left groupoid action  $\triangleright = \triangleright_n$  of the groupoid  $A_1$  on  $A_n$ , by automorphisms, and all the boundary maps  $\partial_n, n = 2, 3, \dots$  are  $A_1$ -module morphisms.
2. The groupoid map  $A_2 \xrightarrow{\partial_2} A_1$  together with the action  $\triangleright$  of  $A_1$  in  $A_2$  defines a crossed module.



3. The groupoid  $A_n$  is abelian if  $n > 2$ .

4.  $\partial_2(A_2)$  acts trivially on  $A_n$  for  $n > 2$ .

For a positive integer  $L$ , an  $L$ -truncated crossed complex is a crossed complex such that  $A_n = \{0\}$  if  $n > L$ . In particular crossed modules are equivalent to 2-truncated crossed complexes. A crossed complex is called reduced if  $A_0$  is a singleton.

Morphism of crossed complexes are defined in a similar way to morphisms of crossed modules.

A natural example of a crossed complex is the following one, introduced by A.L. Blakers in [Bl], in the reduced case, and extensively studied by J.H.C. Whitehead in [W1, W2], and H.J. Baues, see [Ba2, Ba1]. The non-reduced case, important for this work, is treated in [B1, B4, BH2, BH3, BH4, BH5], which we refer to for further details.

**Example 1.3** Let  $M$  be a locally path connected space, so that each connected component of  $M$  is path connected. A filtration of  $M$  is an increasing sequence  $\{M_k, k = 0, 1, 2, \dots\}$  of locally path-connected subspaces of  $M$ , whose union is  $M$ . In addition, we suppose that  $M_0$  has a non-empty intersection with each connected component of  $M_k$ , for each  $k \in \mathbb{N}$ . Then the sequence of groupoids  $\pi_n(M_n, M_{n-1}, M_0)$ , with the obvious boundary maps, and natural left actions of the fundamental groupoid<sup>1</sup>  $\pi_1(M_1, M_0)$ , with set of base points  $M_0$ , on them is a crossed complex, which we denote by  $\Pi(M)$ , and call the “Fundamental Crossed Complex of the Filtered Space  $M$ ”. Note that the assignment  $M \mapsto \Pi(M)$ , is functorial.

**Remark 1.4** Let  $M$  be a CW-complex. The notation  $\Pi(M)$  will always mean the fundamental crossed complex of the skeletal filtration  $\{M^k, k \in \mathbb{N}\}$  of  $M$ , for which  $M^k$  is the  $k$ -skeleton of  $M$ . Note that it makes sense to consider the fundamental crossed complex of this type of filtrations.

It is easy to show that crossed complexes and their morphisms form a category. We will usually denote a crossed complex  $\mathcal{A}$  by  $\mathcal{A} = (A_n, \partial_n, \triangleright_n)$ , or more simply by  $(A_n, \partial_n)$ , or even  $(A_n)$ . A morphism  $f: \mathcal{A} \rightarrow \mathcal{B}$  of crossed complexes will normally be denoted by  $f = (f_n)$ .

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<sup>1</sup> This should not be confused with the relative homotopy set  $\pi_1(M_1, M_0, *)$ .

Let  $\mathcal{A} = (A_n, \partial_n, \triangleright_n)$  be a crossed complex. For any  $a \in A_0$  and any  $n \geq 1$ , denote  $A_n(a)$  as being  $\{a_n \in A_n : s(a_n) = a\}$ , which is therefore a group if  $n \geq 2$ . Denote also  $A_1(a, b) = \{a_1 \in A_1 : s(a_1) = a, t(a_1) = b\}$ , where  $a, b \in A_0$ .

#### 1.1.4 The General van Kampen Theorem

The category of crossed complexes is a category with colimits; see [BH2], section 6. Under mild conditions, the functor  $\Pi$  from the category of filtered spaces to the category of crossed complexes preserves colimits. This fact is known as the “General van Kampen Theorem”, and is due to R. Brown and P.J. Higgins; see [B1, B3, B4, BH1, BH2, BH3, BH4], and also [Ba2, Ba1]. A useful form of the General van Kampen Theorem is the following:

**Theorem 1.5 (R. Brown and P.J. Higgins)** *Let  $M$  be a CW-complex. Let also  $\{M_\lambda, \lambda \in \Lambda\}$  be a covering of  $M$  by subcomplexes of  $M$ . Then the following diagram:*

$$\bigsqcup_{(\lambda_1, \lambda_2) \in \Lambda^2} \Pi(M_{\lambda_1} \cap M_{\lambda_2}) \rightrightarrows \bigsqcup_{\lambda \in \Lambda} \Pi(M_\lambda) \longrightarrow \Pi(M),$$

*with arrows induced by inclusions, is a coequaliser diagram in the category of crossed complexes.*

This is corollary 5.2 of [BH2].

#### 1.1.5 Homotopy of Maps from Crossed Complexes into Crossed Modules

The main references here are [BI, BH4]; see also [B1, B4, W1]. Note that our conventions are slightly different. Let  $G$  and  $G'$  be groupoids. Let also  $E'$  be a totally disconnected groupoid on which  $G'$  has a left action. Let  $\phi : G \rightarrow G'$  be a groupoid morphism. A map  $d : G \rightarrow E'$  is said to be a  $\phi$ -derivation if:

1.  $\beta(d(X)) = t(\phi(X)), \forall X \in G$ .
2.  $d(XY) = (\phi(Y)^{-1} \triangleright d(X))d(Y)$  if  $X, Y \in G$  are such that  $t(X) = s(Y)$ .

**Definition 1.6** *Let  $\mathcal{A} = (A_n)$  be a crossed complex and  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a crossed module. Let also  $f : \mathcal{A} \rightarrow \mathcal{G}$  be a morphism of crossed complexes. An  $f$ -homotopy  $H$  is given by two maps:*

$$H_0 : A_0 \rightarrow G, \quad H_1 : A_1 \rightarrow E,$$

such that:

1.  $s(H_0(a)) = f_0(a), \forall a \in A_0$ ,
2.  $H_1: A_1 \rightarrow E$  is an  $f_1$ -derivation, here  $f = (f_n)$ ,

in which case we put  $f = s(H)$ .

**Proposition 1.7** *Under the conditions of the previous definition, suppose that  $H$  is an  $f$ -homotopy. The map  $g = t(H): \mathcal{A} \rightarrow \mathcal{G}$  such that:*

1.  $g_0(a) = t(H_0(a)), \forall a \in A_0$ ,
2.  $g_1(X) = H_0(s(X))^{-1} f_1(X) (\partial \circ H_1)(X) H_0(t(X)), \forall X \in A_1$ ,
3.  $g_2(e) = H_0(\beta(e))^{-1} \triangleright (f_2(e) (H_1 \circ \partial)(e)), \forall e \in A_2$ ,

is a morphism of crossed complexes. Moreover, this construction defines a groupoid  $\text{CRS}_1(\mathcal{A}, \mathcal{G})$ , whose objects are given by all morphisms  $\mathcal{A} \rightarrow \mathcal{G}$ , and given two morphisms  $f, g: \mathcal{A} \rightarrow \mathcal{G}$ , a morphism  $H: f \rightarrow g$  is an  $f$ -homotopy  $H$  with  $t(H) = g$ .

For each  $f \in \text{CRS}_0(\mathcal{A}, \mathcal{G})$  (the set of crossed complex morphisms  $\mathcal{A} \rightarrow \mathcal{G}$ ), denote the set of all  $f$ -homotopies by  $\text{CRS}_1(\mathcal{A}, \mathcal{G})(f)$ . Analogously, if  $f, g \in \text{CRS}_0(\mathcal{A}, \mathcal{G})$ , we denote  $\text{CRS}_1(\mathcal{A}, \mathcal{G})(f, g)$  as being the set of elements of the groupoid  $\text{CRS}_1(\mathcal{A}, \mathcal{G})$  with source  $f$  and target  $g$ .

**Definition 1.8** *Let  $\mathcal{A} = (A_n)$  be a crossed complex and  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a crossed module. Let also  $f: \mathcal{A} \rightarrow \mathcal{G}$  be a morphism. A 2-fold  $f$ -homotopy is a map  $I: A_0 \rightarrow E$  such that  $\beta(I(a)) = f_0(a), \forall a \in A_0$ . As usual  $f = (f_n)$ .*

Denote  $\text{CRS}_2(\mathcal{A}, \mathcal{G})(f)$  as being the set of all 2-fold  $f$ -homotopies. It is a group with pointwise multiplication as product. Therefore the disjoint union:

$$\text{CRS}_2(\mathcal{A}, \mathcal{G}) \doteq \bigsqcup_{f \in \text{CRS}_0(\mathcal{A}, \mathcal{G})} \text{CRS}_2(\mathcal{A}, \mathcal{G})(f)$$

is a totally disconnected groupoid.

**Proposition 1.9** *Under the conditions of the previous definition, let  $I$  be a 2-fold  $f$ -homotopy. Then  $\partial I = (H_1, H_2)$ , where:*

1.  $H_0(c) = \partial(I(c)), \forall c \in A_0,$
2.  $H_1(X) = f_1(X)^{-1} \triangleright [I(s(X))] I(t(X))^{-1}, \forall X \in A_1,$

is an  $f$ -homotopy.

**Proposition 1.10** *(We continue to use the notation of the previous definition.) Let  $I$  be a 2-fold  $f$ -homotopy. Let  $H$  be a homotopy with source  $g$  and target  $f$ . Then:*

$$(H \triangleright I)(a) = H_1(a) \triangleright I(a), \forall a \in A_0,$$

*is a 2-fold  $g$ -homotopy. Moreover, this assignment defines a left groupoid action of  $\text{CRS}_1(\mathcal{A}, \mathcal{G})$  on  $\text{CRS}_2(\mathcal{A}, \mathcal{G})$  by automorphisms, and together with the boundary map  $\partial: \text{CRS}_2(\mathcal{A}, \mathcal{G}) \rightarrow \text{CRS}_1(\mathcal{A}, \mathcal{G})$ , already described, defines a crossed module  $\text{CRS}(\mathcal{A}, \mathcal{G})$ .*

This is shown in [BH4] in the general case when  $\mathcal{G}$  is a crossed complex.

## 1.2 Yetter's Invariant of Manifolds

### 1.2.1 $\mathcal{G}$ -colouring and Yetter's Invariant

Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a finite reduced crossed module. Let  $M$  be a triangulated piecewise linear manifold or, more generally, a simplicial complex. We suppose that we have a total order on the set of vertices of  $M$ . Each simplex  $K$  of  $M$  has a representation in the form  $K = \{a, b, c, \dots\}$ , where  $a < b < c < \dots$  are vertices of  $M$ . (Later, in section 1.3.1, we will convert the ordered simplicial complex to a *simplicial set* by using the total order. The original simplices are then exactly the non-degenerate simplices of the result.)

A  $\mathcal{G}$ -colouring of  $M$ , also referred to as a “Formal  $\mathcal{G}$ -Map” in [P3, PT], is given by an assignment of an element of  $G$  to each edge of  $M$  and an element of  $E$  to each triangle of  $M$ , satisfying the compatibility condition shown in figure 3. A  $\mathcal{G}$ -colouring of a triangle naturally determines a morphism in  $\mathcal{C}(\mathcal{G})$ , the monoidal category constructed from  $\mathcal{G}$ ; see figure 3. There is still an extra condition that  $\mathcal{G}$ -colourings must satisfy: Given a coloured 3-simplex, one can construct two morphisms  $\phi$  and  $\psi$  in  $\mathcal{C}(\mathcal{G})$ , see figure 4. Note that we need to use the monoidal structure of  $\mathcal{C}(\mathcal{G})$  to define  $\phi$  and  $\psi$ . These morphisms are required to be equal.

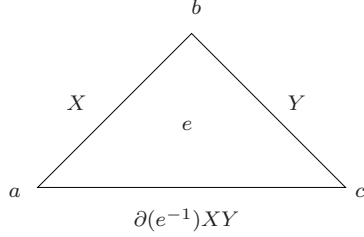


Figure 3: A  $\mathcal{G}$ -colouring of an ordered 2-simplex. Here  $X, Y \in G, e \in E$  and  $a < b < c$  are vertices of  $M$ . To this  $\mathcal{G}$ -colouring we associate the morphism  $XY \xrightarrow{e} \partial(e)^{-1}XY$ , in the category  $\mathcal{C}(\mathcal{G})$ .

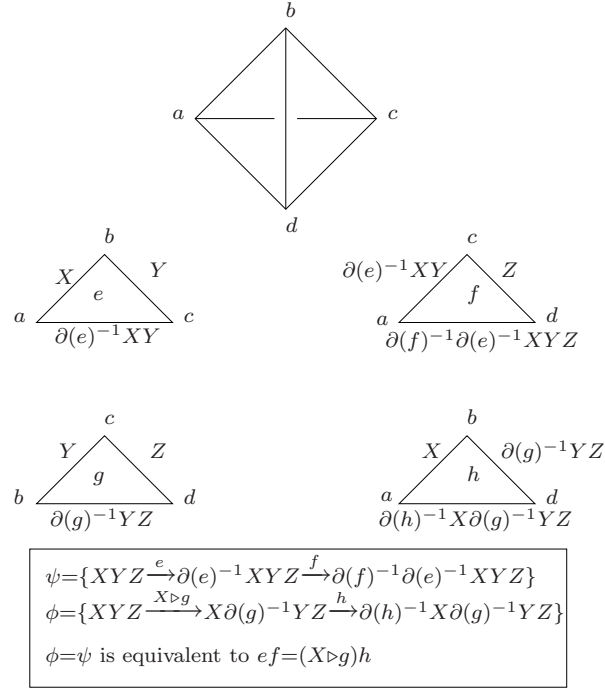


Figure 4: A  $\mathcal{G}$ -colouring of an ordered tetrahedron. Here  $a < b < c < d$ . Note that the compatibility condition  $ef = (X \triangleright g)h$  ensures that  $\partial(f)^{-1}\partial(e)^{-1}XYZ = \partial(h)^{-1}X\partial(g)^{-1}YZ$ .

Let us be specific. Given a simplex  $K$  of  $M$ , denote the colouring of it by  $\mathbf{c}(K)$ . Then the conditions that a  $\mathcal{G}$ -colouring  $\mathbf{c}$  must satisfy are:

$$\partial(\mathbf{c}(abc))^{-1}\mathbf{c}(ab)\mathbf{c}(bc) = \mathbf{c}(ac), \quad (1)$$

whenever  $(abc)$  (where  $a < b < c$ ) is a 2-simplex of  $M$ , and a cocycle condition:

$$\mathbf{c}(abc)\mathbf{c}(acd) = (\mathbf{c}(ab) \triangleright \mathbf{c}(bcd))\mathbf{c}(abd), \quad (2)$$

whenever  $(abcd)$  (where  $a < b < c < d$ ) is a 3-simplex of  $M$ .

**Theorem 1.11 (D.N. Yetter)** *Let  $M$  be a compact piecewise linear manifold. Consider a triangulation of  $M$  with  $n_0$  vertices and  $n_1$  edges. Choose a total order on the set of all vertices of  $M$ . Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a finite reduced crossed module. The quantity:*

$$I_{\mathcal{G}}(M) = \frac{\#E^{n_0}}{\#G^{n_0}\#E^{n_1}} \# \{\mathcal{G}\text{-colourings of } M\} \quad (3)$$

*is a piecewise linear homeomorphism invariant of  $M$ , thus, in particular, it is triangulation independent, and does not depend on the total order chosen on the set of vertices of  $M$ .*

This result is due to D.N. Yetter. Proofs are given in [Y2, P1, P2]. Similar constructions were considered in [FM1, FM2, FM3], and also [P3, PT]. We will refer to the invariant  $I_{\mathcal{G}}$ , where  $\mathcal{G}$  is a finite crossed module, as “Yetter’s Invariant”.

D.N. Yetter’s proof of Theorem 1.21 relied on Alexander Moves on simplicial complexes, thereby staying in the piecewise linear category. In particular it does not make clear whether  $I_{\mathcal{G}}$  is a homotopy invariant. On the other hand Yetter’s argument extends  $I_{\mathcal{G}}$  to being a TQFT.

In this article we will give a geometric interpretation of Yetter’s Invariant  $I_{\mathcal{G}}$ , from which will follow a new proof of its existence. The expected fact that  $I_{\mathcal{G}}$  is a homotopy invariant is also implied by it; see [P1, P2] for alternative points of view, with a broad intersection with this one. As a consequence, we also have that  $I_{\mathcal{G}}(M)$  is well defined, as a homotopy invariant, for any simplicial complex  $M$ .

In the closed piecewise linear manifold case, we will also consider an additional twisting of  $I_{\mathcal{G}}(M)$  by cohomology classes of  $\mathcal{G}$ , similar to the Dijkgraaf-Witten Invariant of 3-dimensional manifolds, see [DW], thereby giving a solution to one of the open problems posed by D.N. Yetter in [Y2]. Together with

the homotopy invariance and geometric interpretation of Yetter's Invariant, this will be one of the main aims of this article.

## 1.3 Classifying Spaces of Crossed Modules

### 1.3.1 The Homotopy Addition Lemma

Let  $M$  be a piecewise linear manifold with a triangulation  $T$ , or, more generally, a simplicial complex. Suppose that we have a total order on the set of all vertices of  $T$ . Simplicial complexes like this will be called ordered.

Recall that we can define a simplicial set  $D_T$  out of any ordered simplicial complex  $T$ . The set of  $n$ -simplices of  $D_T$  is given by all non decreasing sequences  $(a_0, a_1, \dots, a_n)$  of vertices of  $T$ , such that  $\{a_0, a_1, \dots, a_n\}$  is a (non-degenerate) simplex of  $T$ . The face and degeneracy maps are defined as:

$$\partial_i(a_0, \dots, a_n) = (a_0, \dots, a_{i-1}, a_{i+1}, \dots, a_n), i = 0, \dots, n,$$

and

$$s_i(a_0, \dots, a_n) = (a_0, \dots, a_i, a_i, \dots, a_n), i = 0, \dots, n,$$

respectively. Notice that if  $T$  is a piecewise linear triangulation of a piecewise linear manifold  $M$  then the geometric realisation  $|D_T|$  of  $D_T$  is (piecewise linear) homeomorphic to  $M$ .

There exist several references on simplicial sets. We refer for example, [C, FP, GZ, Ma, KP, We].

Working with simplicial sets, let  $\Delta(n)$  be the  $n$ -simplex, then its geometric realisation  $|\Delta(n)|$  is the usual standard geometric  $n$ -simplex. This simplicial set is obtained from the simplicial complex  $(012\dots n)$ , the  $n$ -simplex, by applying the construction above. Each non-degenerate  $k$ -face  $s = (a_0 a_1 a_2 \dots a_k)$  of  $\Delta(n)$  (thus  $a_0 < a_1 < \dots < a_k$ ) determines, in the obvious way, an element  $h(s) \in \pi_k(|\Delta(n)|^k, |\Delta(n)|^{k-1}, a_0)$ . Recall that if  $M$  is a CW-complex then  $M^k$  denotes the  $k$ -skeleton of it.

By the General van Kampen Theorem, stated in 1.1.4, the crossed complex  $\Pi(|\Delta(n)|)$  is free on the set of non-degenerate faces of  $\Delta(n)$ ; see [B1, B4, W1, BH5]. The definition of free crossed complexes appears for example in [B4]. In particular, if  $\mathcal{A} = (A_n)$  is a crossed complex, a morphism  $\Pi(|\Delta(n)|) \rightarrow \mathcal{A}$  is specified, uniquely, by an assignment of an element of  $A_k$  to each non-degenerate  $k$ -face of  $\Delta(n)$ , with the obvious compatibility relations with the boundary maps. To determine these relations, we need to use

the Homotopy Addition Lemma. This lemma explicitly describes the boundary maps of the crossed complex  $\Pi(|\Delta(n)|)$ ; see [Wh], page 175. Let us be explicit in the low dimensional case. We follow the conventions of [BH5, B1].

**Lemma 1.12 (Homotopy Addition Lemma for  $n = 2, 3$ )** *We have:*

$$\partial(h(0123)) = (h(01) \triangleright h(123))h(013)h(023)^{-1}h(012)^{-1}, \quad (4)$$

$$\partial(h(012)) = h(12)h(23)h(13)^{-1}. \quad (5)$$

These equations are analogues of equations (1) and (2). By the General van Kampen Theorem there follows:

**Proposition 1.13** *Let  $M$  be a triangulated piecewise linear manifold with a total order on its set of vertices. Let also  $\mathcal{G}$  be a reduced crossed module. There exists a one-to-one correspondence between  $\mathcal{G}$ -colourings of  $M$  and maps  $\Pi(M) \rightarrow \mathcal{G}$ , where  $M$  has the natural CW-decomposition given by its triangulation.*

This result appears in [P1] (Proposition 2.1). Note that any map  $f: \Pi(M) \rightarrow \mathcal{G}$  yields a  $\mathcal{G}$ -colouring  $\mathbf{c}^f$  of  $M$ , where  $\mathbf{c}^f(K) = f(h(K))$ . Here  $K$  is a non-degenerate simplex of  $M$ . To prove this we need to use the Homotopy Addition Lemma.

Proposition 1.13 still holds for general simplicial complexes, and has obvious analogues if we merely specify a CW-decomposition of  $M$  rather than a triangulation.

More discussion of  $\mathcal{G}$ -colourings can be found in [P1, P2, P3, PT].

### 1.3.2 The Definition of $B(\mathcal{G})$ and Some Properties

We follow [BH5, B1, B4]. Another introduction to this subject appears in [P3]. Let  $\mathcal{A}$  be a crossed complex. The nerve  $N(\mathcal{A})$  of  $\mathcal{A}$  is, by definition, the simplicial set whose set of  $n$ -simplices is given by all crossed complex morphisms  $\Pi(|\Delta(n)|) \rightarrow \mathcal{A}$ , with the obvious face and degeneracy maps. It is a Kan simplicial set; see [BH5]. The classifying space  $B(\mathcal{A})$  of  $\mathcal{A}$  is the geometric realisation  $|N(\mathcal{A})|$  of the nerve of  $\mathcal{A}$ . This construction appeared first in [Bl].

Let us unpack this definition in the reduced crossed module case; see [B1], 3.1. Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a reduced crossed module. The CW-complex



$B(\mathcal{G})$  has a unique 0-cell  $*$ , since  $N(\mathcal{G})$  only has one 0-simplex, because  $\mathcal{G}$  is reduced. For any  $n \in \mathbb{N}$ , the set of  $n$ -simplices of  $N(\mathcal{G})$  is, by Proposition 1.13, in bijective correspondence with the set of all  $\mathcal{G}$ -colourings of  $|\Delta(n)|$ , and has the obvious faces and degeneracies motivated by this identification.

Consequently, there exists a 1-simplex  $s(X)$  of  $B(\mathcal{G})$  for any  $X \in G$ . The 2-simplices of  $M$  are given by triples  $s(X, Y, e)$ , where  $X, Y \in G$  and  $e \in E$ . Here  $X = \mathbf{c}(01), Y = \mathbf{c}(12), \partial(e)^{-1}XY = \mathbf{c}(02)$  and  $e = \mathbf{c}(012)$ , corresponding to the  $\mathcal{G}$ -colouring of  $|\Delta(2)|$  shown in figure 3. Similarly, the 3-simplex of  $N(\mathcal{G})$  determined by the  $\mathcal{G}$ -colouring of  $|\Delta(3)|$  shown in figure 4 will be denoted by  $s(X, Y, Z, e, f, g, h)$ , where the relation  $ef = (X \triangleright g)h$  must hold. We will describe the set of  $\mathcal{G}$ -colourings of the 4-simplex  $|\Delta(4)|$  in the following section.

The following result is due to R. Brown and P.J. Higgins; see [BH5], Theorem 2.4, together with its proof.

Let  $C$  be a simplicial set. In particular the geometric realisation  $|C|$  of  $C$  is a CW-complex.

**Theorem 1.14** *Let  $\mathcal{G}$  be a crossed module. There exists a one-to-one correspondence  $F$  between crossed complex morphisms  $\Pi(|C|) \rightarrow \mathcal{G}$  and simplicial maps  $C \rightarrow N(\mathcal{G})$ .*

**Remark 1.15** *In fact, let  $g: \Pi(|C|) \rightarrow \mathcal{G}$  be a morphism of crossed complexes. Consider the projection map:*

$$p: \bigsqcup_{n \in \mathbb{N}} C_n \times |\Delta(n)| \rightarrow |C|,$$

*according to the usual construction of the geometric realisation of simplicial sets, see for example [Mi1, Ma, FP, GZ]. Note that  $p$  is a cellular map, therefore it induces a morphism  $p_*$  of crossed complexes. Let  $c \in C_n$  be an  $n$ -simplex of  $C$ , then  $F(g)(c): \Pi(|\Delta(n)|) \rightarrow \mathcal{G}$  is the composition:*

$$\Pi(|\Delta(n)|) \xrightarrow{\cong} \Pi(c \times |\Delta(n)|) \xrightarrow{p_*} \Pi(|C|) \xrightarrow{g} \mathcal{G}.$$

These results are a consequence of the version of the General van Kampen Theorem stated in 1.1.4; see [BH5] for details. Remark 1.15 shows how to go from a crossed complex morphism to a simplicial morphism. The reverse direction needs a comparison of  $\Pi(B(\mathcal{G}))$  and  $\mathcal{G}$  and is more complicated. However, we can prove directly that  $F$  is a bijection by using the General van Kampen Theorem.

**Remark 1.16** *In particular (from Proposition 1.13), it follows that if  $M$  is a piecewise linear manifold with an ordered triangulation  $T$ , and  $C_T$  is the simplicial set associated with  $T$  (see the beginning of 1.3.1), then there exists a one-to-one correspondence between  $\mathcal{G}$ -colourings of  $M$  and simplicial maps  $C_T \rightarrow N(\mathcal{G})$ . This result is due to the second author; see [P1, P2].*

The statement of Theorem 1.14 can be substantially expanded. We follow [BH5]. The assignment  $\mathcal{G} \mapsto N(\mathcal{G})$  if  $\mathcal{G}$  is a crossed module is functorial. Similarly, the assignment  $C \mapsto \Pi(|C|)$ , where  $C$  is a simplicial set is also functorial. The second functor is left adjoint to the first one: the Nerve Functor, see [BH5], Theorem 2.4. In fact, the adjunction  $F$  of Theorem 1.14 extends to a simplicial map (which we also call  $F$ ):

$$N(\text{CRS}(\Pi(|C|), \mathcal{G})) \xrightarrow{F} \text{SIMP}(C, N(\mathcal{G})),$$

which, moreover, is a homotopy equivalence; see [BH5], proof of Corollary 3.5 and of Theorem A. Here if  $C$  and  $D$  are simplicial sets, then  $\text{SIMP}(C, D)$  is the simplicial set whose set of  $n$ -simplices is given by all simplicial maps  $C \times \Delta(n) \rightarrow D$ ; see [Ma, GZ], for example. Note that the set of vertices of  $N(\text{CRS}(\Pi(|C|), \mathcal{G}))$  is the set  $\text{CRS}_0(\Pi(|C|), \mathcal{G})$  of all crossed complex morphisms  $\Pi(|C|) \rightarrow \mathcal{G}$ . Similarly, there exists a bijective correspondence between vertices of  $\text{SIMP}(C, N(\mathcal{G}))$  and simplicial maps  $C \rightarrow N(\mathcal{G})$ .

The existence of the simplicial homotopy equivalence  $F$  is a non trivial fact, due to R. Brown and P.J. Higgins. The proof which appears in [BH5] makes great use of the monoidal closed structure of the category of crossed complexes constructed in [BH4]. A version of the Eilenberg-Zilber Theorem for crossed complexes, representing  $\Pi(|C \times D|)$  as a strong deformation retract of  $\Pi(|C|) \otimes \Pi(|D|)$ , if  $C$  and  $D$  are simplicial sets, is also required. An approach to this is sketched in [BH5]. A direct proof appears in [To1, To2].

Let  $C$  and  $D$  be simplicial sets. It is well known that if  $D$  is Kan then there exists a weak homotopy equivalence:

$$j: |\text{SIMP}(C, D)| \rightarrow \text{TOP}(|C|, |D|),$$

where the later is given the  $k$ -ification of the Compact-Open Topology on the set of all continuous maps  $|C| \rightarrow |D|$ ; see [B2], for example. This weak homotopy equivalence is the composition:

$$|\text{SIMP}(C, D)| \xrightarrow{j_1} |\text{SIMP}(C, S|D|)| \xrightarrow{j_2} |S(\text{TOP}(|C|, |D|))| \xrightarrow{j_3} \text{TOP}(|C|, |D|).$$

Here if  $M$  is a topological space, then  $S(M)$  is the singular complex of  $M$ . Since  $D$  is a Kan simplicial set, it follows that  $D$  is a strong deformation retract of  $S(|D|)$ , a fact usually known as Milnor's Theorem, see [FP], 4.5, and [Mil]. The map  $j_1$  is the geometric realisation of the inclusion  $\text{SIMP}(C, D) \rightarrow \text{SIMP}(C, S(|D|))$ , and is therefore a homotopy equivalence.

There exists a one-to-one correspondence between simplicial maps  $C \times \Delta(n) \rightarrow S(|D|)$  and continuous maps  $|C| \times |\Delta(n)| \cong |C \times \Delta(n)| \rightarrow |D|$ . This fact together with the natural homeomorphism  $\text{TOP}(|C| \times |\Delta(n)|, |D|) \rightarrow \text{TOP}(|\Delta(n)|, \text{TOP}(|C|, |D|))$  provides an isomorphism  $\text{SIMP}(C, S(|D|)) \rightarrow S(\text{TOP}(|C|, |D|))$ ; see [GZ], page 132. The map  $j_2$  is the geometric realisation of it, which consequently is a homeomorphism.

The map  $j_3$  is the obvious map  $j_3: |S(\text{TOP}(|C|, |D|))| \rightarrow \text{TOP}(|C|, |D|)$ . It is well known that the natural map  $|S(M)| \rightarrow M$  is a weak homotopy equivalence, for any space  $M$ ; see [Ma, GZ, FP].

From this discussion, it is obvious that if  $f: C \rightarrow D$  is a simplicial map then  $j(|f|) = |f|$ . Here  $|f|: |C| \rightarrow |D|$  is the geometric realisation of  $f: C \rightarrow D$ . Therefore:

**Theorem 1.17** *Let  $C$  be a simplicial set and  $\mathcal{G}$  a reduced crossed module. There exists a weak homotopy equivalence:*

$$\eta: B(\text{CRS}(\Pi(|C|), \mathcal{G})) \rightarrow \text{TOP}(|C|, B(\mathcal{G})).$$

*In fact, if we are given a map  $g: \Pi(C) \rightarrow \mathcal{G}$ , then  $\eta(g)$  is the geometric realisation of  $F(g): C \rightarrow N(\mathcal{G})$ ; see Theorem 1.14.*

This is contained in theorem A of [BH5]. Note that  $\eta = j \circ |F|$ . The exact expression of  $\eta$  will be needed in the last chapter.

We therefore have that  $\eta$  is a homotopy equivalence when  $C$  is finite, because then it follows that  $\text{TOP}(|C|, B(\mathcal{G}))$  has the homotopy type of a CW-complex; see [Mi2].

### 1.3.3 The Fundamental Groups of Classifying Spaces of Crossed Modules

Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a crossed module, not necessarily reduced. Let  $P$  be the object set of  $G$  and  $E$ . There exists a bijective correspondence between 0-cells of  $B(\mathcal{G})$  and elements of  $P$ .

**Theorem 1.18** *There exists a one-to-one correspondence between connected components of  $B(\mathcal{G})$  and connected components of the groupoid  $G$ . Given  $a \in P$  then:*

$$\pi_1(B(\mathcal{G}), a) = G_{a,a} / \text{im}\{\partial: E_a \rightarrow G_{a,a}\} \quad (6)$$

and

$$\pi_2(B(\mathcal{G}), a) = \ker\{\partial: E_a \rightarrow G_{a,a}\}. \quad (7)$$

Here  $G_{a,b} = \{X \in G: s(X) = a, t(X) = b\}$  and  $E_a = \{e \in E: \beta(e) = a\}$ , where  $a, b \in P$ . In fact the groupoid  $\pi_1(B(\mathcal{G}), P)$  is the quotient of  $G$  by the totally disconnected subgroupoid  $\partial(E)$ , which is normal in  $G$ . All the other homotopy groups of  $B(\mathcal{G})$  are trivial.

This is shown in [BH5], in the general case of crossed complexes. In fact, if  $\mathcal{A} = (A_n)$  is a reduced crossed complex then  $\pi_n(B(\mathcal{A})) = \ker(\partial_n) / \text{im}(\partial_{n+1})$ , and analogously in the non-reduced case, keeping track of base points. These results are a consequence of the fact that the nerve  $N(\mathcal{A})$  of  $\mathcal{A}$  is a Kan simplicial set if  $\mathcal{A}$  is a crossed complex.

An immediate corollary of theorems 1.17 and 1.18 is that if  $C$  is a simplicial set then there exists a one-to-one correspondence between homotopy classes of crossed complex morphisms  $\Pi(|C|) \rightarrow \mathcal{G}$  and homotopy classes of maps  $|C| \rightarrow B(\mathcal{G})$ . More precisely,  $f, g: \Pi(|C|) \rightarrow \mathcal{G}$  are homotopic if and only if  $\eta(f), \eta(g): |C| \rightarrow B(\mathcal{G})$  are homotopic. R. Brown and P.J. Higgins proved this for any CW-complex, a statement contained in Theorem A of [BH5].

### 1.3.4 $n$ -Types, in General, and 2-Types, in Particular

Let  $\mathcal{A}$  be a crossed complex. The assignment  $\mathcal{A} \mapsto N(\mathcal{A})$ , where  $N(\mathcal{A})$  is the nerve of  $\mathcal{A}$ , is functorial. Composing with the geometric realisation functor from the category of simplicial sets to the category  $Top$  of topological spaces, proves that the geometric realisation map  $\mathcal{A} \mapsto B(\mathcal{A})$  can be extended to a functor  $Xcomp \rightarrow Top$ . Here  $Xcomp$  is the category of crossed complexes.

Recall that a continuous map  $f: X \rightarrow Y$  is an  $n$ -equivalence if it induces a bijection  $\pi_0(f)$ , on connected components and if, for all choices of base point  $x_0 \in X$  and  $1 \leq i \leq n$ ,  $\pi_i(f): \pi_i(X, x_0) \rightarrow \pi_i(Y, f(x_0))$  is an isomorphism. (Intuitively an  $n$ -equivalence is a truncated weak homotopy equivalence as it ignores all information in the  $\pi_k(X)$  for  $k > n$ .) Two spaces have the same  $n$ -type if there is a zig-zag of  $n$ -equivalences joining them, more exactly one

can formally invert  $n$ -equivalences to get a category  $Ho_n(Top)$  and then  $X$  and  $Y$  have the same  $n$ -type if they are isomorphic in  $Ho_n(Top)$ . Any CW-space  $X$  has a  $n$ -equivalence to a space  $Y_n$  (the  $n$ -type of  $X$ ), which satisfies  $\pi_k(Y_n)$  is trivial for all  $k > n$ . This  $Y_n$  is formed by adding high dimensional cells to  $X$  to kill off the elements of the various  $\pi_k(X)$ . Because of this, it is usual, loosely, to say that a CW-complex  $M$  is an  $n$ -type if  $\pi_i(M) = 0$  for all  $i > n$ . We will adopt this usage. Note that the  $n$ -type of a CW-complex is well defined up to homotopy.

If  $\mathcal{A} = (A_m)$  is a crossed complex such that  $A_m$  is trivial for  $m > n$  then it follows that the classifying space  $B(\mathcal{A})$  of  $\mathcal{A}$  is an  $n$ -type. The reader is advised that the assignment  $\mathcal{A} \mapsto B(\mathcal{A})$  where  $\mathcal{A}$  is a crossed complex does not generate all  $n$ -types.

Working with reduced crossed modules, it is well known that any connected 2-type can be represented as the classifying space of a reduced crossed module, a result which is essentially due to S. Mac Lane and J.H.C Whitehead; see [MLW]. See for example [BH5] for an alternative proof. In fact it is possible to prove that the homotopy category of connected 2-types is equivalent to  $Ho(X-mod)$ , where  $X-mod$  is the category of reduced crossed modules; see [Ba2, BH5, L]. Here, a weak equivalence of reduced crossed modules is by definition a map  $f: \mathcal{G} \rightarrow \mathcal{H}$  whose geometric realisation induces isomorphisms  $\pi_1(B(\mathcal{G}), *) \rightarrow \pi_1(B(\mathcal{H}), *)$  and  $\pi_2(B(\mathcal{G}), *) \rightarrow \pi_2(B(\mathcal{H}), *)$ . By Theorem 1.18, this can be stated in algebraic terms. For the description of the associated model structure on the category of crossed modules, we refer the reader to [BG].

In particular, it follows that the reduced crossed modules  $\mathcal{G}$  and  $\mathcal{H}$  are weak equivalent if and only if  $B(\mathcal{G})$  and  $B(\mathcal{H})$  have the same 2-type. Since these CW-complexes are 2-types themselves, there follows that:

**Theorem 1.19** *Let  $\mathcal{G}$  and  $\mathcal{H}$  be reduced crossed modules. Then  $\mathcal{G}$  and  $\mathcal{H}$  are weak homotopic if and only if  $B(\mathcal{G})$  and  $B(\mathcal{H})$  are homotopic.*

Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a crossed module. There exists a natural action of  $\text{coker}(\partial)$  on  $\ker(\partial)$ . The crossed module  $\mathcal{G}$  determines a cohomology class  $\omega \in H^3(\ker(\partial), \text{coker}(\partial))$ , called the  $k$ -invariant of  $\mathcal{G}$ , which coincides with the  $k$ -invariant of  $B(\mathcal{G})$  (also called first Postnikov invariant); see [B1]. By a theorem of Mac Lane and Whitehead (see [MLW]), two spaces have the same 2-type if and only if they have the same fundamental group, the same second homotopy group, seen as a  $\pi_1$ -module, and the same first Postnikov invariant; see [Ba2, Ba1].

A triple  $(A, B, k^3 \in H^3(A, B))$ , where  $A$  is a group acting on the abelian group  $B$  on the left by automorphisms is usually called an algebraic 2-type. The discussion above implies:

**Corollary 1.20** *Let  $\mathcal{G}$  and  $\mathcal{H}$  be crossed modules. Then  $B(\mathcal{G})$  is homotopic to  $B(\mathcal{H})$  if and only if the algebraic 2-types determined by  $\mathcal{G}$  and  $\mathcal{H}$  are isomorphic.*

## 1.4 Applications to Yetter's Invariant of Manifolds

### 1.4.1 An Interpretation of Yetter's Invariant

Let  $\mathcal{G}$  be a finite reduced crossed module. Recall that  $I_{\mathcal{G}}$  stands for Yetter's Invariant; see 1.2.1. We freely use the results and the notation of 1.1.5. We now prove the first main result of this article.

**Theorem 1.21** *Let  $M$  be a compact triangulated piecewise linear manifold or, more generally, a finite simplicial complex. As usual, we suppose that  $M$  is provided with a total order on its set of vertices. Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a reduced crossed module. We have:*

$$I_{\mathcal{G}}(M) = \sum_{g \in [M, B(\mathcal{G})]} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), g)}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), g)}. \quad (8)$$

Here  $[M, B(\mathcal{G})] = \pi_0(\text{TOP}(M, B(\mathcal{G})))$  denotes the set of homotopy classes of maps  $M \rightarrow B(\mathcal{G})$ . In particular,  $I_{\mathcal{G}}(M)$  does not depend on the ordered triangulation of  $M$  chosen. Moreover, Yetter's Invariant is therefore a homotopy invariant of polyhedra, i.e., triangulable topological spaces.

Note that equation (8) extends Theorem 12 of [Y2] to general crossed modules

**Proof.** The two previous theorems will be used. Recall that any simplicial complex naturally defines a simplicial set; see 1.3.1. We freely use the notation and the results of 1.1.5. We abbreviate  $\text{CRS}_2(\Pi(M), \mathcal{G})(f)$  as  $\text{CRS}_2(f)$ , and analogously for  $\text{CRS}_1(\Pi(M), \mathcal{G})(f, g)$  and  $\text{CRS}_1(\Pi(M), \mathcal{G})(f)$ .

For an  $f \in \text{CRS}_0(\Pi(M), \mathcal{G})$ , denote  $[f]$  as being the set of elements of  $\text{CRS}_0(\Pi(M), \mathcal{G})$  which belong to the same connected component of  $f$  in the groupoid  $\text{CRS}_1(\Pi(M), \mathcal{G})$ . Recall also that if  $f, g: \Pi(M) \rightarrow \mathcal{G}$  then  $\eta(f), \eta(g): M \rightarrow B(\mathcal{G})$  are homotopic if, and only if,  $f$  and  $g$  belong to the same connected component in the groupoid  $\text{CRS}_1(\Pi(M), \mathcal{G})$ .

We have:

$$\begin{aligned}
& \sum_{g \in [M, B_{\mathcal{G}}]} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), g)}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), g)} \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), \eta(f))}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), \eta(f))\#[f]} \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\pi_2(B(\text{CRS}(\Pi(M), \mathcal{G})), f)}{\#\pi_1(B(\text{CRS}(\Pi(M), \mathcal{G})), f)\#[f]} \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\partial(\text{CRS}_2(f))\#\ker\{\partial: \text{CRS}_2(f) \rightarrow \text{CRS}_1(f, f)\}}{\#\text{CRS}_1(f, f)\#[f]} \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\text{CRS}_2(f)}{\#\text{CRS}_1(f, f)\#[f]}. \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\text{CRS}_2(f)}{\#\text{CRS}_1(f)}.
\end{aligned}$$

The last equality follows from the fact that  $\text{CRS}_1(\Pi(M), \mathcal{G})$  is a groupoid. Recall that  $\text{CRS}_1(f)$  denotes the set of homotopies with source  $f: \Pi(M) \rightarrow \mathcal{G}$ . The result follows from the following lemmas.

**Lemma 1.22** *Let  $\phi: H \rightarrow G$  be a groupoid morphism, with  $G$  a group. Let also  $E$  be a group on which  $G$  acts on the left. Suppose that  $H$  is a free groupoid. Any  $\phi$ -derivation  $d: H \rightarrow E$  can be specified, uniquely, by its value on the set of free generators of  $H$ . In other words, any map from the set of generators of  $H$  into  $E$  uniquely extends to a derivation  $d: H \rightarrow E$ .*

**Proof.** This is shown in [W1] (Lemma 3) for the case in which  $H$  is a group, and is easy to prove. The general case can be proved directly in the same way. Alternatively, we can reduce it to the group case by using the free group  $\hat{H}$  on the set of free generators of  $H$ . In particular, any groupoid morphism  $\phi: H \rightarrow G$  factors uniquely as  $\phi = \psi \circ p$ , where  $p$  is the obvious map  $p: H \rightarrow \hat{H}$ , bijective on generators, and  $\psi: \hat{H} \rightarrow G$  is a group morphism. Note that if  $d: \hat{H} \rightarrow E$  is a  $\psi$ -derivation, then  $d \circ p: H \rightarrow E$  is a  $\phi$ -derivation.

■

**Lemma 1.23** *There exist exactly  $\#E^{n_1}\#G^{n_0}$   $f$ -homotopies if  $f: \Pi(M) \rightarrow \mathcal{G}$  is a crossed complex morphism. Here  $n_0$  and  $n_1$  are the number of vertices and edges of  $M$ .*

**Proof.** Follows from the previous lemma and the fact that  $\pi_1(M^1, M^0)$  is the free groupoid on the set of edges of  $M$ , with one object for each vertex of  $M$ . The fact that the crossed module  $\mathcal{G} = (G, E, \partial, \triangleright)$  is reduced is being used. ■

**Lemma 1.24** *There exist exactly  $\#E^{n_0}$  2-fold  $f$ -homotopies if  $f: \Pi(M) \rightarrow \mathcal{G}$  is a crossed complex morphism.*

**Proof.** This is a consequence of the fact that the crossed module  $\mathcal{G}$  is, by assumption, reduced. ■

Using the last two lemmas it follows that:

$$\begin{aligned}
& \sum_{f \in [M, B(\mathcal{G})]} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), f)}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), f)} \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\text{CRS}_2(f)}{\#\text{CRS}_1(f)} \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#E^{n_0}}{\#G^{n_0}\#E^{n_1}} \\
&= \frac{\#E^{n_0}}{\#G^{n_0}\#E^{n_1}} \#\{\mathcal{G}\text{-colourings of } M\}.
\end{aligned}$$

The last equation follows from Proposition 1.13. This finishes the proof of Theorem 1.21. ■

**Corollary 1.25** *The invariant  $I_{\mathcal{G}}$  where  $\mathcal{G}$  is a finite crossed module depends only on the homotopy type of  $B(\mathcal{G})$ . Therefore Yetter's invariant  $I_{\mathcal{G}}$  is a function of the weak homotopy type of the crossed module  $\mathcal{G}$ , only, or alternatively of the algebraic 2-type determined by  $\mathcal{G}$ .*

**Proof.** Follows from Theorem 1.19 and Corollary 1.20. ■

In a future work, we will investigate the behaviour of  $I_{\mathcal{G}}$  under arbitrary crossed module maps  $\mathcal{G} \rightarrow \mathcal{H}$ , in order to generalise this result.

Yetter's Invariant was extended to CW-complexes in [FM2], where an alternative proof of its existence is given, using a totally different argument



to the one just shown. Also in the cellular category, an extension of Yetter's Invariant to crossed complexes appeared in [FM3]. In [FM1, FM2], algorithms are given for the calculation of Yetter's Invariant of complements of knotted embedded surfaces in  $S^4$ , producing non-trivial invariants of 2knots. Different interpretations of Yetter's Invariant appear in [P1, P2].

Yetter's Invariant has a very simple expression in the case of spaces homotopic to 2-dimensional complexes. In fact:

**Proposition 1.26** *Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a finite reduced crossed module. Suppose that  $M$  is a finite simplicial complex which is homotopic to a finite 2-dimensional simplicial complex. Then:*

$$I_{\mathcal{G}}(M) = \frac{1}{\#\text{coker}(\partial)} (\#\ker(\partial))^{\chi(M)} \#\text{Hom}(\pi_1(M), \text{coker}(\partial)),$$

where  $\chi(M)$  denotes the Euler characteristic of  $M$ .

**Proof.** It suffices to prove the result for 2-dimensional simplicial complexes, since Yetter's Invariant is an invariant of homotopy types.

Let  $\mathcal{H}$  be the crossed module with base group  $\text{coker}(\partial)$ , whose fibre group is the trivial group. There exist a natural map  $p$  from the set of  $\mathcal{G}$ -colourings of  $M$  to the set of  $\mathcal{H}$ -colourings of  $M$ , obtained by sending the colour of an edge of  $M$  to the image of it in  $\text{coker}(\partial)$  and the colour of each face to 1. Since there are no relations motivated by tetrahedra, the map  $p$  is surjective, and the inverse image at each point has cardinality  $(\#\text{im}(\partial))^{n_1} (\#\ker(\partial))^{n_2}$ . Here  $n_i$  is the number of non-degenerate  $i$ -simplices of  $M$ . Therefore:

$$\begin{aligned} I_{\mathcal{G}}(M) &= \frac{\#E^{n_0}}{\#G^{n_0} \#E^{n_1}} (\#\text{im}(\partial))^{n_1} (\#\ker(\partial))^{n_2} \#\{\mathcal{H}\text{-colourings of } M\} \\ &= \frac{\#E^{n_0}}{\#G^{n_0}} (\#\ker(\partial))^{n_2 - n_1} \#\{\mathcal{H}\text{-colourings of } M\} \\ &= \frac{(\#\text{im}(\partial))^{n_0}}{\#G^{n_0}} (\#\ker(\partial))^{n_2 - n_1 + n_0} \#\{\mathcal{H}\text{-colourings of } M\} \\ &= \frac{1}{(\#\text{coker}(\partial))^{n_0}} (\#\ker(\partial))^{n_2 - n_1 + n_0} \#\{\mathcal{H}\text{-colourings of } M\} \\ &= \frac{1}{\#\text{coker}(\partial)} (\#\ker(\partial))^{\chi(M)} \#\text{Hom}(\pi_1(M), \text{coker}(\partial)). \end{aligned}$$

■

### 1.4.2 An Extension of Yetter's Invariant to Crossed Complexes

We can extend Yetter's Invariant of manifolds to handle crossed complexes. Let us sketch how to do this extension. For details (in the pointed CW-complex category) we refer the reader to [FM3].

As part of the information used to construct this extension, we need to know the  $n > 3$  cases of the Homotopy Addition Lemma, stated for  $n = 2$  and  $n = 3$  in 1.3.1. We continue to follow the conventions of [BH5].

**Lemma 1.27 (Homotopy Addition Lemma for  $n > 3$ )** *We have:*

$$\partial h(012\dots n) = h(01) \triangleright h(\partial_0(012\dots n)) + \sum_{i=1}^n (-1)^i h(\partial_i(012\dots n)).$$

Recall the notation introduced in 1.3.1. Note that this is an identity in the relative homotopy group  $\pi_n(|\Delta(n)|^n, |\Delta(n)|^{n-1}, 0 = *)$ .

**Definition 1.28** *Let  $M$  be a triangulated piecewise linear manifold with a total order on its set of vertices. Let  $\mathcal{A} = (A_i)$  be a reduced crossed complex. An  $\mathcal{A}$ -colouring of  $M$  is given by an assignment of an element of  $A_i$  to each  $i$ -simplex of  $M$ , which should satisfy the obvious relations motivated by the Homotopy Addition Lemma.*

As before, by the General van Kampen Theorem, it follows that there is a one-to-one correspondence between  $\mathcal{A}$ -colourings of  $M$  and crossed complex maps  $\Pi(M) \rightarrow \mathcal{A}$ ; compare with Proposition 1.13. Using the same techniques that we used in the crossed module case we can prove:

**Theorem 1.29** *Let  $M$  be a triangulated compact piecewise linear manifold (or more generally a finite simplicial complex) provided with a total order on its set of vertices, having  $n_i$  (non-degenerate) simplices of dimension  $i$  for each  $i \in \mathbb{N}$ . Let also  $\mathcal{A} = (A_n)$  be a reduced crossed complex such that  $A_n$  is the trivial group except for a finite number of  $n \in \mathbb{N}$  for which  $A_n$  should be finite. The quantity:*

$$I_{\mathcal{A}}(M) = \#\{\mathcal{A}\text{-colourings of } M\} \prod_{i=1}^{\infty} \left( \prod_{j=0}^{\infty} (\#A_{i+j})^{n_j} \right)^{(-1)^i},$$

does not depend on the ordered triangulation of  $M$  chosen and it is a homotopy invariant of  $M$ , as a topological space. In fact  $I_{\mathcal{A}}(M)$  can be interpreted as:

$$I_{\mathcal{A}}(M) = \sum_{f \in \pi_0(\text{TOP}(M, B(\mathcal{A})))} \prod_{i=1}^{\infty} \# \pi_i(\text{TOP}(M, B(\mathcal{A})), f)^{(-1)^i}.$$

Here  $B(\mathcal{A})$  is the classifying space of the crossed complex  $\mathcal{A}$ .

The proof of this theorem is analogous to the proof of Theorem 1.21. An important fact to note is that if  $\mathcal{A}$  and  $M$  are as in Theorem 1.21, then the function space  $\text{TOP}(M, B(\mathcal{A}))$  has only a finite number of connected components, each with a finite number of non-trivial homotopy groups, all of which are finite. This follows from theorems 1.17 and 1.18, or more precisely from their extension to the general case of crossed complexes. A proof of Theorem 1.29 appears in [FM3], in the case when  $M$  is a CW-complex with a unique 0-cell. Using the framework we exposed here in the crossed module case, the proof is easily extended to the case when  $M$  may have any finite number of 0-cells; see the theorem below.

This construction should be compared with the construction in [P2] of a manifold invariant from any finite  $n$ -type. It would be interesting to formulate a similar homotopy interpretation of it.

Theorems 1.21 and 1.29 can be stated in a more general form in the CW-category. In fact we have:

**Theorem 1.30** *Let  $M$  be a finite CW-complex, having  $n_i$  cells of index  $i$  for each  $i \in \mathbb{N}$ . Let also  $\mathcal{A} = (A_n)$  be a reduced crossed complex, such that  $A_n$  is the trivial group, except for a finite number of  $n \in \mathbb{N}$ , for which  $A_n$  should be finite. The (necessarily finite) quantity:*

$$I_{\mathcal{A}}(M) = \#\{\text{Hom}(\Pi(M), \mathcal{A})\} \prod_{i=1}^{\infty} \left( \prod_{j=0}^{\infty} (\#A_{i+j})^{n_j} \right)^{(-1)^i},$$

is a homotopy invariant of  $M$ , as a topological space. Here  $\Pi(M)$  is the fundamental crossed complex of the skeletal filtration of  $M$ . Moreover  $I_{\mathcal{A}}(M)$  can be interpreted as:

$$I_{\mathcal{A}}(M) = \sum_{f \in \pi_0(\text{TOP}(M, B(\mathcal{A})))} \prod_{i=1}^{\infty} \# \pi_i(\text{TOP}(M, B(\mathcal{A})), f)^{(-1)^i},$$

where  $B(\mathcal{A})$  is the classifying space of the crossed complex  $\mathcal{A}$ .

This theorem is shown in [FM3]. The proof is set in the based case. However the argument extends easily to the unbased case by the method shown in the crossed module context.

A more combinatorial version of the previous theorem can always be set by using the General van Kampen Theorem, as long as we can apply an appropriate homotopy addition lemma, similar to the one stated in the simplicial context. This can be done for instance for complements of knotted embedded surfaces in  $S^4$ ; see [FM2].

## 2 Homological Twisting of Yetter's Invariant

### 2.1 Low Dimensional Cohomology of Crossed Modules

The (co)homology of crossed modules is studied, for example, in [E, Pa], by considering the (co)homology of their classifying spaces. We do not wish to develop that further. Rather, we will only consider the (co)homology in low dimensions, focusing on a geometric and calculational approach. Our final aim is to define a twisting of Yetter's Invariant by cohomology classes of finite reduced crossed modules, thereby extending the Dijkgraaf-Witten Invariant of manifolds to crossed modules (equivalent to categorical groups).

**Definition 2.1** *Let  $\mathcal{G}$  be a reduced crossed module. The (co)homology of  $\mathcal{G}$  is defined as being the (co)homology of the classifying space  $B(\mathcal{G})$  of  $\mathcal{G}$ , and similarly in the non-reduced case and for crossed complexes.*

#### 2.1.1 Homology of Simplicial Sets

Let  $D$  be a simplicial set. It is well known that the homology groups of its geometric realisation  $|D|$  can be combinatorially defined from  $D$  itself. Let us clarify this statement.

Consider the complex  $C(D) = \{C_n(D), \partial_n\}$  of simplicial chains of  $D$ . Here  $C_n(D)$  is the free abelian group on the set  $D_n$  of  $n$ -simplices of  $D$ . Furthermore  $\partial(c) = \sum_{i=0}^n (-1)^i \partial_i(c)$ , if  $c \in D_n$ ; see [Ma], 1.2, or [We], 8.2. Note that the assignment  $D \mapsto C(D)$ , where  $D$  is a simplicial set is functorial. The homology of a simplicial set  $D$  is defined as being the homology of the chain complex  $C(D)$ .

The chain complex  $C(D)$  has a subcomplex  $C^d(D)$ , for which  $C_n^d(D)$  is the free  $\mathbb{Z}$ -module on the set of degenerate  $n$ -simplices of  $D$ . A classical

result asserts that this chain complex is acyclic, see for example [We], proof of Theorem 8.3.8. Therefore, we may equivalently consider the homology of the normalised simplicial chain complex  $C^r(D) \doteq C(D)/C^d(D)$ . This chain complex is isomorphic with the cellular chain complex of  $|D|$ , where  $|D|$  is provided with its natural cell decomposition coming from the simplicial structure of  $D$ . Recall that the geometric realisation  $|D|$  of a simplicial set  $D$  is a CW-complex with one  $n$ -cell for each non-degenerate  $n$ -simplex of  $D$ . This can be used to prove that the simplicial homology of a simplicial set coincides with the cellular homology of its geometric realisation.

In fact a stronger result holds. Namely, there exists an inclusion map  $i: C^r(D) \doteq C(D)/C^d(D) \rightarrow C(D)$  such that  $p \circ i = \text{id}$ , with  $i \circ p$  being homotopic to the identity. Here  $p: C(D) \rightarrow C^r(D)$  is the projection map. This is the Normalisation Theorem; see [ML], VIII.6.

In this article, we will consider the unnormalised simplicial chain complex.

Note that if  $M$  is an ordered simplicial complex, then the simplicial chain complex of  $D_M$ , the simplicial set made from  $M$ , coincides with its usual definition; see for example [Mau], 4.3. That book discusses both the normalised and unnormalised cases.

### 2.1.2 Homology of Crossed Modules

Let  $\mathcal{G}$  be a crossed module. The classifying space  $B(\mathcal{G})$  of  $\mathcal{G}$  is the geometric realisation of the simplicial set  $N(\mathcal{G})$ , the nerve of  $\mathcal{G}$ . Therefore, it is natural to consider its simplicial homology.

The simplicial structure of  $B(\mathcal{G})$  was described in 1.3.2. Let us unpack the structure of the simplicial chain complex of  $B(\mathcal{G})$  for low dimensions. Suppose that  $\mathcal{G} = (G, E, \partial, \triangleright)$  is a reduced crossed module. For any  $n \in \mathbb{N}$ , the simplicial chain group  $C_n(\mathcal{G}) \doteq C_n(N(\mathcal{G}))$  is the free abelian group on the set of all  $\mathcal{G}$ -colourings of  $|\Delta(n)|$ , with the obvious boundary maps. In particular:

1.  $C_0(\mathcal{G}) = \mathbb{Z}$ ,
2.  $C_1(\mathcal{G})$  is the free  $\mathbb{Z}$ -module on the symbols  $s(X), X \in G$ ,
3.  $C_2(\mathcal{G})$  the free  $\mathbb{Z}$ -module on the symbols  $s(X, Y, e)$ , where  $X, Y \in G$  and  $e \in E$ ,
4.  $C_3(\mathcal{G})$  is the free  $\mathbb{Z}$ -module on the symbols  $s(X, Y, Z, e, f, g, h)$ , where  $X, Y, Z \in G$  and  $e, f, g, h \in E$  must verify  $ef = (X \triangleright g)h$ .

Moreover we have:

$$\begin{aligned}\partial(s(X)) &= 0, \\ \partial(s(X, Y, e)) &= s(X) + s(Y) - s(\partial(e)^{-1}XY),\end{aligned}$$

where  $X, Y \in G$  and  $e \in E$ ; and also:

$$\begin{aligned}\partial(s(X, Y, Z, e, f, g, h)) &= s(Y, Z, g) - s(\partial(e)^{-1}XY, Z, f) \\ &\quad + s(X, \partial(g)^{-1}YZ, h) - s(X, Y, e),\end{aligned}$$

where  $X, Y, Z \in G$  and  $e, f, g, h \in E$  are such that  $ef = (X \triangleright g)h$ .

The determination of  $C_4(\mathcal{G})$  is a bit more complicated. In figures 5 to 9, we display the most general  $\mathcal{G}$ -colouring of the 4-simplex (01234). It depends on the variables  $X, Y, Z, W \in G$  and  $e, f, g, h, i, j, k, m, n, p \in E$ , which must satisfy the conditions shown in figures 5 to 9. Namely:  $ef = (X \triangleright g)h$ ,  $gi = (Y \triangleright j)k$ ,  $fm = e^{-1}(XY \triangleright j)en$ ,  $hm = (X \triangleright i)p$  and  $en = (X \triangleright k)p$ . Note that the last relation follows from all the others. From these relations, it is not difficult to conclude that the colourings of all 1-simplices of figures 5 to 9 are coherent. The associated simplicial 4-chains are denoted by  $s(X, Y, Z, W, e, f, g, h, i, j, k, m, n, p)$ . The determination of the boundary map  $\partial: C_4(\mathcal{G}) \rightarrow C_3(\mathcal{G})$  is an easy task.

### 2.1.3 Explicit Description of the Low Dimensional Coboundary Maps

Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a reduced crossed module. We consider the  $U(1)$ -cohomology of  $\mathcal{G}$ . This is a very particular case of the construction in [Pa]. There was considered the general case of cohomology with coefficients in any  $\pi_1(B(\mathcal{G}))$ -module. From the discussion above, the group  $C^3(\mathcal{G})$  of 3-cochains of  $\mathcal{G}$  is given by all maps  $\omega: G^3 \times E^4 \rightarrow U(1)$  which verify:

$$\omega(X, Y, Z, e, f, g, h) \neq 1 \implies ef = (X \triangleright g)h.$$

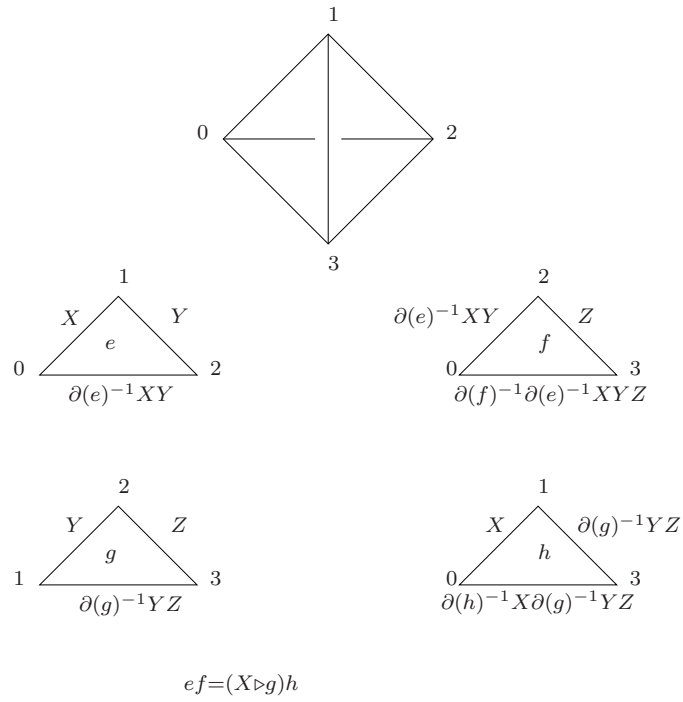


Figure 5: The most general  $\mathcal{G}$ -colouring of (01234): restriction to (0123).

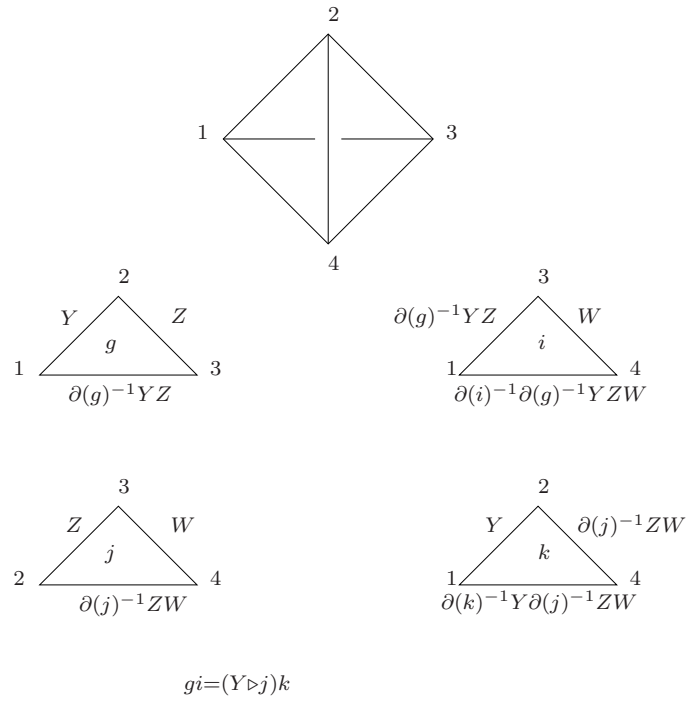


Figure 6: The most general  $\mathcal{G}$ -colouring of (01234): restriction to (1234).



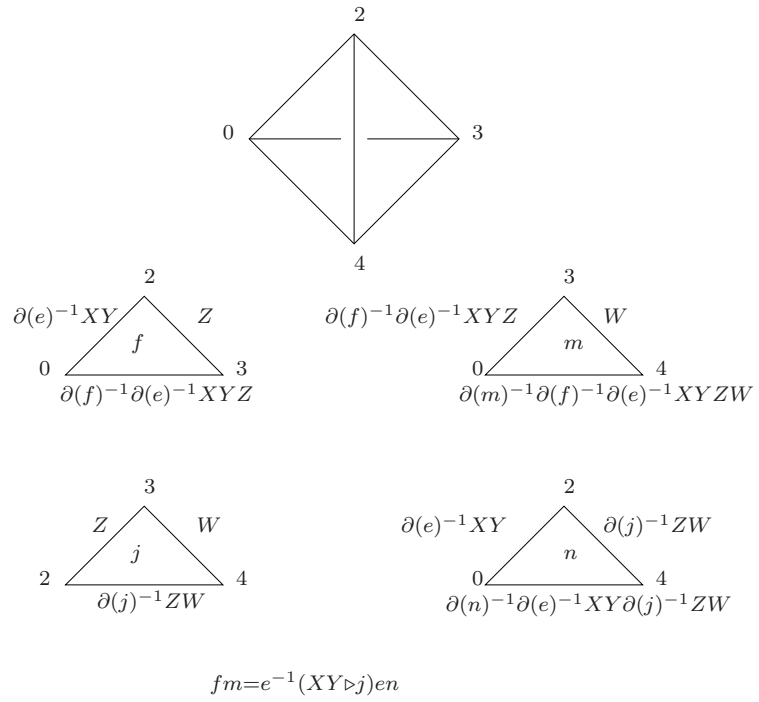


Figure 7: The most general  $\mathcal{G}$ -colouring of (01234): restriction to (0234).

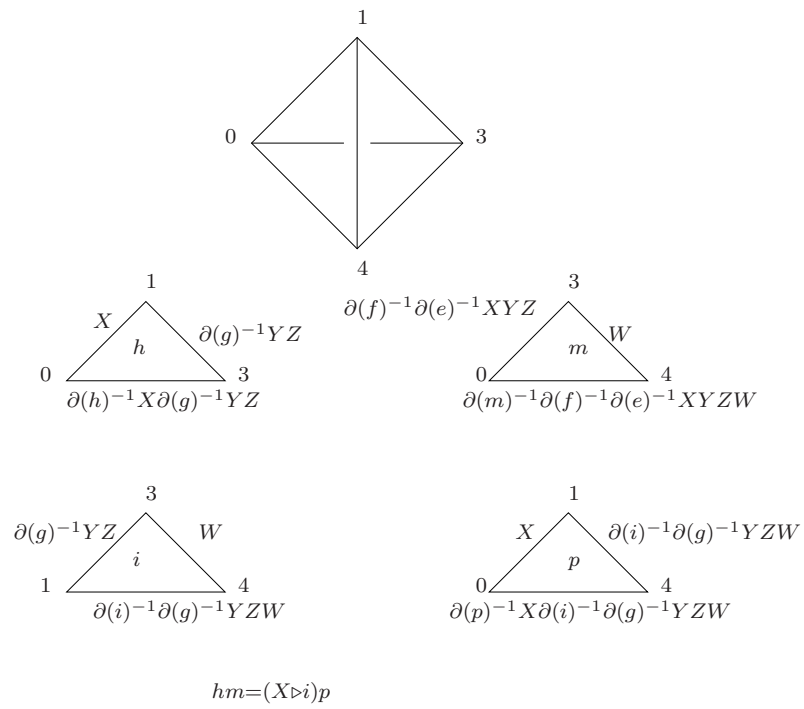


Figure 8: The most general  $\mathcal{G}$ -colouring of (01234): restriction to (0134).

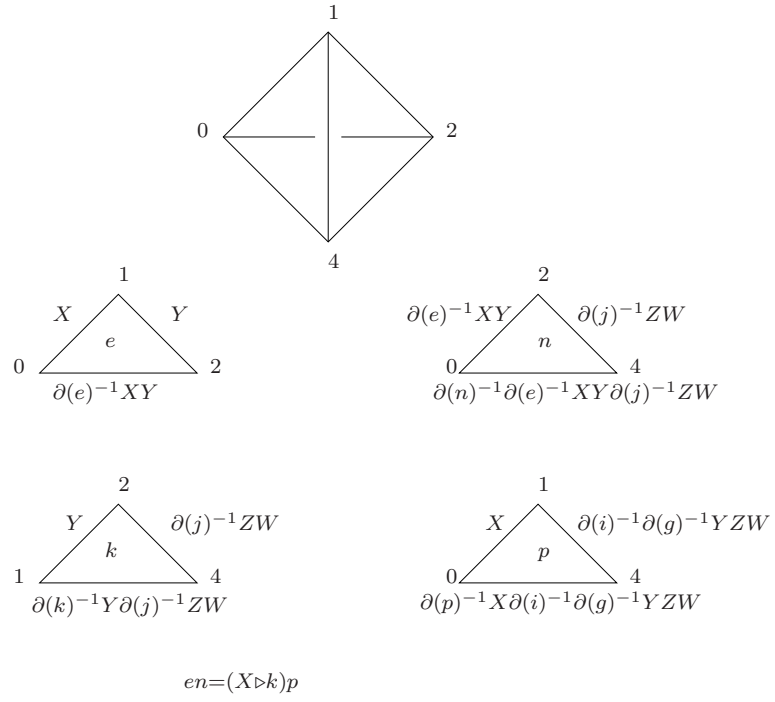


Figure 9: The most general  $\mathcal{G}$ -colouring of (01234): restriction to (0124).

Analogously, the group  $C^4(\mathcal{G})$  of 4-cochains is given by all maps  $G^4 \times E^{10} \rightarrow U(1)$  which verify:

$$\omega(X, Y, Z, W, e, f, g, h, i, j, k, m, n, p) \neq 1 \implies \begin{cases} ef = (X \triangleright g)h, \\ gi = (Y \triangleright j)k, \\ fm = e^{-1}(XY \triangleright j)en, \\ hm = (X \triangleright i)p, \\ en = (X \triangleright k)p. \end{cases}$$

Recall that the last relation is a consequence of the others. The group  $C^2(\mathcal{G})$  of 2-cochains is simply given by all maps  $\omega: G^2 \times E \rightarrow U(1)$ . The following results follow trivially.

**Proposition 2.2** *Let  $\omega \in C^1(\mathcal{G})$  be a 1-cochain. Then:*

$$d\omega(X, Y, e) = \omega(X) + \omega(Y) - \omega(\partial(e)^{-1}XY),$$

for all  $X, Y \in G$  and all  $e \in E$ .

**Proposition 2.3** *Let  $\omega \in C^2(\mathcal{G})$  be a 2-cochain. Then*

$$\begin{aligned} d\omega(X, Y, Z, e, f, g, h) = \\ \omega(Y, Z, g) - \omega(\partial(e)^{-1}XY, Z, f) + \omega(X, \partial(g)^{-1}YZ, h) - \omega(X, Y, e), \end{aligned}$$

whenever  $ef = (X \triangleright g)h$  and equals  $1 \in \mathbb{C}$  otherwise.

**Proposition 2.4** *Let  $\omega \in C^3(\mathcal{G}, A)$  be a 3-cochain. We have:*

$$\begin{aligned} d\omega(X, Y, Z, W, e, f, g, h, i, j, k, m, n, p) = \\ \omega(X, Y, Z, e, f, g, h) + \omega(Y, Z, W, g, i, j, k) + \omega(X, \partial(g)^{-1}YZ, W, h, m, i, p) \\ - \omega(\partial(e)^{-1}XY, Z, W, f, m, j, n) - \omega(X, Y, \partial(j)^{-1}ZW, e, n, k, p), \end{aligned}$$

whenever  $ef = (X \triangleright g)h$ ,  $gi = (Y \triangleright j)k$ ,  $fm = e^{-1}(XY \triangleright j)en$ ,  $hm = (X \triangleright i)p$  and  $en = (X \triangleright k)p$ , and equals  $1 \in \mathbb{C}$  otherwise.

## 2.2 A Homotopy Invariant of 3-Manifolds

We will restrict our discussion to the 3-dimensional case. However, it is clear that the results that we obtain will still hold, with the obvious adaptations, for any dimension  $n \in \mathbb{N}$ , and can be extended to handle crossed complexes in the same way. The  $n$ -dimensional analogues of propositions 2.2 to 2.4, as well as their extension to crossed complexes, important for calculational purposes, require, however, more laborious calculations.

Let  $M$  be a 3-dimensional oriented triangulated closed piecewise linear manifold. The orientation class  $o_M \in H_3(M)$  of  $M$  chosen can be specified by an assignment of a total order to each non-degenerate tetrahedron of  $M$ . These total orders are defined up to even permutations. They define an orientation on each tetrahedron of  $M$ . Therefore it is required that if two non-degenerate tetrahedra share a non-degenerate face then the orientations induced on their common face should be opposite.

As usual, we suppose that we are provided with a total order on the set of all vertices of  $M$ . Consequently, each non-degenerate 3-simplex  $K$  of  $M$  can be uniquely represented as  $K = (abcd)$  where  $a < b < c < d$ . If  $K$  is a non-degenerate tetrahedron, we say that  $r(K)$  is  $-1$  or  $1$  according to whether the total order induced on the vertices of  $K$  differs from the one determined by the orientation of  $M$  by an odd or an even permutation. In other words,  $r(K)$  is  $1$  or  $-1$  depending on whether the orientation on  $K$  induced by the total order on the set of vertices of  $M$  coincides or not with the orientation of  $K$  determined by the orientation of  $M$ .

The orientation class  $o_M$  of  $M$ , living in the (normalised or unnormalised) simplicial homology group  $H_3(M)$  of  $M$  is therefore:

$$o_M = \sum_{\text{3-simplices } (abcd)} r(abcd)(abcd),$$

where the sum is extended to the non-degenerate 3-simplices, only.

Let  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a finite reduced crossed module. Choose a 3-dimensional cocycle  $\omega$  representing some cohomology class in  $H^3(\mathcal{G})$ . For any  $\mathcal{G}$ -colouring  $\mathbf{c}$  of  $M$  define the  $U(1)$ -valued “action”:

$$\begin{aligned} \mathcal{S}(\mathbf{c}, \omega) &= \prod_{\text{3-simplices } (abcd)} \omega(\mathbf{c}(ab), \mathbf{c}(bc), \mathbf{c}(cd), \mathbf{c}(abc), \mathbf{c}(acd), \mathbf{c}(bcd), \mathbf{c}(abd))^{r(abcd)}, \end{aligned} \tag{9}$$

where the product is extended to the non-degenerate 3-simplices of  $M$ , only.

Recall that the set of 3-simplices of the classifying space  $B(\mathcal{G})$  of  $\mathcal{G}$  is in one-to-one correspondence with the set of  $\mathcal{G}$ -colourings of the standard geometric 3-simplex  $|\Delta(3)|$ . The group of 3-dimensional simplicial cochains of  $B(\mathcal{G})$  is given by all assignments of an element of  $U(1)$  to each  $\mathcal{G}$ -colouring  $\mathbf{c}$  of  $|\Delta(3)|$ . Given a non-degenerate 3-simplex  $(abcd)$  of  $M$ , the quantity  $\omega(\mathbf{c}(ab), \mathbf{c}(bc), \mathbf{c}(cd), \mathbf{c}(abc), \mathbf{c}(acd), \mathbf{c}(bcd), \mathbf{c}(abd))$  is by definition, and under the identification above, exactly  $\omega(\mathbf{c}|_{(abcd)})$ , where  $\mathbf{c}|_{(abcd)}$  is the restriction of the  $\mathcal{G}$ -colouring  $\mathbf{c}$  of  $M$  to the tetrahedron  $(abcd)$ .

**Theorem 2.5** *Let  $M$  be a 3-dimensional closed oriented triangulated piecewise linear manifold, with a total order on its set of vertices. Let  $n_0$  and  $n_1$  be, respectively, the number of vertices and edges of  $M$ . Let also  $\mathcal{G} = (G, E, \partial, \triangleright)$  be a finite reduced crossed module, and let  $\omega \in H^3(\mathcal{G})$  be a 3-dimensional cohomology class of  $\mathcal{G}$ . The quantity:*

$$I_{\mathcal{G}}(M, \omega) = \frac{\#E^{n_0}}{\#G^{n_0}\#E^{n_1}} \sum_{\mathcal{G}\text{-colourings } \mathbf{c}} \mathcal{S}(\mathbf{c}, \omega)$$

*is a homotopy invariant of  $M$ , and therefore, in particular, it is independent of the ordered triangulation of  $M$  chosen. In fact, let  $o_M \in H_3(M)$  be the orientation class of  $M$ . We have:*

$$I_{\mathcal{G}}(M, \omega) = \sum_{g \in [M, B(\mathcal{G})]} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), g)}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), g)} \langle o_M, g^*(\omega) \rangle.$$

*Here  $[M, B(\mathcal{G})] = \pi_0(\text{TOP}(M, B(\mathcal{G})))$  denotes the set of homotopy classes of maps  $M \rightarrow B(\mathcal{G})$ .*

Note that since  $N(\mathcal{G})$  is Kan, the Simplicial Approximation Theorem guarantees that any map  $f: M \rightarrow B(\mathcal{G})$  is homotopic to the geometric realisation of a simplicial map  $T_M \rightarrow N(\mathcal{G})$ , defined up to simplicial homotopy. Here  $T_M$  is the simplicial set defined from the triangulation of  $M$ . In particular  $f^*(\omega)$  is well defined in the simplicial category for any continuous map  $f: M \rightarrow B(\mathcal{G})$ . The Simplicial Approximation Theorem (for simplicial sets) is proved for example in [S]. Note also the Normalisation Theorem stated in 2.1.1.

The proof of Theorem 2.5 is analogous to the proof of Theorem 1.21. The main lemma which we will use for its proof is the following.

**Lemma 2.6** *Let  $f \in \text{CRS}_0(\Pi(M), \mathcal{G})$  be a morphism  $\Pi(M) \rightarrow \mathcal{G}$ . Therefore, by Proposition 1.13 we can associate a  $\mathcal{G}$ -colouring  $\mathbf{c}^f$  of  $M$  to it. We have:*

$$\langle o_M, \eta(f)^*(\omega) \rangle = \mathcal{S}(\mathbf{c}^f, \omega).$$

*Note that  $\eta(f)$  is the realisation of a simplicial map. In fact it is the geometric realisation of  $F(f)$ ; see theorems 1.14 and 1.17.*

In particular, from theorems 1.17 and 1.18 and subsequent comments, it follows that  $\mathcal{S}(\mathbf{c}^f, \omega)$  depends only on the homotopy class of  $f: \Pi(M) \rightarrow \mathcal{G}$ . This also proves that the action  $\mathcal{S}(\mathbf{c}^f, \omega)$  does not depend on the cocycle representing the cohomology class  $\omega \in H^3(\mathcal{G})$ .

**Proof. (Lemma 2.6)** Recall the notation introduced in 1.3.2. The  $\mathcal{G}$ -colouring  $\mathbf{c}^f$  of  $M$  restricts to a  $\mathcal{G}$ -colouring  $\mathbf{c}_{|K}^f$  of  $K$ , for each non-degenerate simplex  $K$  of  $M$ . We have:

$$\begin{aligned} & \langle o_M, \eta(f)^*\omega \rangle \\ &= \langle o_M, F(f)^*\omega \rangle, \text{ by definition (cf. Theorem 1.17)} \\ &= \langle F(f)_*o_M, \omega \rangle \\ &= \left\langle \sum_{\text{3-simplices } (abcd)} r(abcd) F(f)(abcd), \omega \right\rangle \\ &= \prod_{\text{3-simplices } (abcd)} \langle F(f)(abcd), \omega \rangle^{r(abcd)} \\ &= \prod_{\text{3-simplices } (abcd)} \langle f_{|\Pi(abcd)}, \omega \rangle^{r(abcd)}, \text{ by Theorem 1.14 and Remark 1.15} \\ &= \prod_{\text{3-simplices } (abcd)} \langle \mathbf{c}_{|(abcd)}^f, \omega \rangle^{r(abcd)}, \text{ by Proposition 1.13} \\ &= \prod_{\text{3-simplices } (abcd)} \omega(\mathbf{c}^f(ab), \mathbf{c}^f(bc), \mathbf{c}^f(cd), \mathbf{c}^f(abc), \mathbf{c}^f(acd), \mathbf{c}^f(bcd), \mathbf{c}^f(abd))^{r(abcd)} \\ &= \mathcal{S}(\mathbf{c}^f, \omega). \end{aligned}$$

The second to last step follows by definition. Note that the sum and the products are to be extended to the non-degenerate 3-simplices of  $M$ , only. ■

We now prove Theorem 2.5.

**Proof. (Theorem 2.5)** We maintain the notation we used in the proof of Theorem 1.21. We have:

$$\begin{aligned}
& \sum_{g \in [M, B(\mathcal{G})]} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), g)}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), g)} \langle o_M, g^* \omega \rangle \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), \eta(f))}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), \eta(f)) \# [f]} \langle o_M, \eta(f)^* \omega \rangle \\
&= \sum_{f \in \text{CRS}_0(\Pi(M), \mathcal{G})} \frac{\#\pi_2(\text{TOP}(M, B(\mathcal{G})), \eta(f))}{\#\pi_1(\text{TOP}(M, B(\mathcal{G})), \eta(f)) \# [f]} \mathcal{S}(c^f, \omega).
\end{aligned}$$

The same calculation as in the proof of theorem 1.21 finishes the proof. Note that we are implicitly using the fact that if  $f$  and  $f'$  belong to the same connected component in the groupoid  $\text{CRS}_1(\Pi(M), \mathcal{G})$  then it follows that  $\eta(f)$  is homotopic to  $\eta(f')$  and  $\mathcal{S}(c^f, \omega) = \mathcal{S}(c^{f'}, \omega)$ . ■

As we referred to before, this theorem can be extended in the obvious way to closed  $n$ -manifolds, with  $n$  arbitrary, and cohomology classes of crossed complexes. Compare with Theorem 1.29. It would be interesting to relate our construction with M. Mackaay's work appearing in [Mk2]. Conjecturally, this last should be related to the 4-manifold invariant obtained from 4-dimensional cohomology classes of crossed complexes of length 3. Finding the precise link forces the determination of all the relations verified by 4-dimensional crossed complex cocycles, which itself requires elaborate calculations. We will consider these issues in a subsequent publication.

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